

國立中興大學教師及研究人員學術研究倫理教育實施要點
National Chung Hsing University
Administrative Guidelines for the Academic Research Ethics
Education of Faculty Members and Research Personnel

106.6.14 第 408 次行政會議訂定
109.5.13 第 432 次行政會議修正通過(第 2 點)
111.8.31 第 450 次行政會議修正通過(第 1 點)
2017.6.14 Passed in the 408th Administrative Meeting
2020.5.13 Revisions (Article 2) passed in the 432nd Administrative Meeting
2022.8.31 Revisions (Article 1) passed in the 450th Administrative Meeting

- 一、為培養本校教師及參與研究相關人員之學術倫理素養，以精進學術研究品質，依據教育部「專科以上學校學術倫理案件處理原則」及「國家科學及技術委員會補助專題研究計畫作業要點」特訂定「國立中興大學教師及研究人員學術研究倫理教育實施要點」（以下簡稱本要點）。

Article 1 The Administrative Guidelines for the Academic Research Ethics Education of Faculty Members and Research Personnel (hereinafter, “the Guidelines”) have been formulated by National Chung Hsing University (hereinafter, NCHU or “the University”) in accordance with the Ministry of Education’s Principles for the Handling of Academic Ethics Cases at Junior Colleges and Institutions of Higher Education and the National Science and Technology Council Guidelines for Research Project Sponsorships to uphold the academic ethics of NCHU faculty members and research personnel and boost the quality of academic research.

二、本要點適用對象，分下列三類：

- (一) 本校專任(專案)教師及研究人員於任職期間須完成至少六小時學術倫理教育課程研習；惟新進人員須於到職日起一年內完成至少六小時學術倫理教育課程研習。
- (二) 本校兼任教師及專、兼任研究人員(含博士後研究員、專任助理、兼任助理)須於聘任時檢附至少六小時學術倫理教育課程研習證明文件，始得辦理聘任。僅擔任教學或行政業務而未參與研究計畫、實習課程、使用研究空間或設備、指導學生論文者，得不適用本要點。
- (三) 參與本校研究計畫之其他人員須於計畫執行日或聘任時檢附至少六小時學術倫理教育課程研習證明文件。

前項第一款適用對象由研發處每學期製發各學院(室、中心、獨立學位學程)所屬人員研習情形彙報表供各學院(室、中心、獨立學位學程)存查。各單位應宣導學術倫理相關法規。

第一項第二、三款適用對象由聘僱單位主管或計畫主持人督導所屬人員之研習情況，並妥善保存相關紀錄，以供查驗。

第一項各款適用對象如政府單位或本校聘僱單位明訂有更嚴格之規定須遵循者，從其規定。

Article 2 These Guidelines apply to personnel in the following three categories:

1. The University's full-time and project faculty members and researchers shall complete at least six hours of academic ethics training during their appointment. Newly appointed personnel must complete six hours of academic ethics training within their first year of appointment.
2. The University's part-time faculty members and full-time and part-time researchers (including postdoctoral researchers and full- and part-time assistants) must present a certificate of completion of at least six hours of academic ethics training to be eligible for appointment. These Guidelines do not apply to individuals who only serve in an academic or administrative capacity and who do not participate in research projects, internship courses, the use of research space or equipment, or student thesis advising.
3. Other NCHU research project personnel must present a certificate of completion of at least six hours of academic ethics training by the starting date of their appointment or project execution.

Each semester, the Research and Development Office shall prepare a report listing the training status of personnel in NCHU college-level units (colleges, offices, centers, and degree programs) who fall under the category set forth in Paragraph 1, Subparagraph 1 for submission to the respective college-level unit for future reference. NCHU units shall publicize academic ethics laws and regulations.

The principal investigator or the head of the appointing unit shall oversee the training of their personnel who fall under the categories set forth in Paragraph I, Subparagraphs II and III, and shall retain the related records for future reference.

If the above regulations regarding personnel who fall under the categories set forth in Paragraph 1 conflict with the regulations stipulated by government agencies or the appointing NCHU unit, the more stringent regulations shall apply.

三、本要點所稱學術倫理教育課程包含以下課程：

- (一) 臺灣學術倫理教育資源中心 (<https://ethics.nctu.edu.tw/>)。
- (二) 本校一、二級單位辦理學術倫理研習課程。
- (三) 各區域教學資源中心辦理學術倫理研習課程(不含說明會)。
- (四) 全球學術倫理線上課程。
- (五) 其他有關學術倫理課程。

前項第二至五款課程由主辦單位核發研習證明，並由參與研習人員主動提供證明文件經研發處認可者，始採計研習時數。

Article 3 The academic ethics training courses referred to in the Guidelines shall include the following courses:

1. Courses offered by the Center for Taiwan Academic Research Ethics Education (<https://ethics.nctu.edu.tw/>)
2. Academic ethics courses offered by the University's primary and secondary units
3. Academic ethics courses (orientation sessions not included) offered by regional teaching resource centers
4. Online academic ethics courses offered internationally
5. Other academic ethics courses

For courses listed in Subparagraphs 2 to 5 of the preceding paragraph, the organizing unit shall issue the certificate for completion of training. Personnel who participate in these courses shall present their certificate to the Research and Development Office for verification and recording of training hours.

四、本要點適用對象於聘約有效期間未依規定完成研習者，如有違反學術倫理等情事，除依本校相關規定辦理外，將從嚴議處。

Article 4 Personnel who fail to complete training by the deadline set forth in their appointment contract and who are found to be in violation of academic ethics shall be handled in accordance with the applicable NCHU regulations and may be subject to severe penalties after deliberation.

五、本要點經行政會議通過後實施，修正時亦同。

Article 5 These Guidelines shall be implemented after passage by the Administrative Meeting. The same shall apply to all subsequent revisions.